

Once completed and signed (by all signatories), return to **support@selfwealth.com.au** along with a copy of the Seller(s) and the Buyer(s) ID.

**Cost per transfer is A\$27.50 including GST**

1 We consent for Selfwealth to debit the fee of \$27.50 associated with this Off Market Transaction from the:

Buyer(s) Seller(s) Selfwealth ANZ Cashactive Account

2 Full name of Security / Stock			
3 Description of Securities	Class		If not fully paid, paid to
4 Quantity	Words		Figures
5 Full name/s of Transferor/s (Seller/s)	Given Name/s Surname ..... .....		
6 Transferor/s (Seller/s) HIN / SRN	HIN/SRN : _____ (must be quoted)	7 Trading A/C: _____	8 Broker PID _____
9 Consideration	A\$		10 Date of Transfer / /
11 Full name/s of Transferee/s (Buyer/s)	Given Name/s Surname ..... .....		
12 Full postal address of Transferee/s (Buyer/s)	..... ..... State/Country ..... Postcode .....		
13 Transferee/s (Buyer/s) HIN	HIN: _____	14 Trading A/C: _____	15 Broker PID _____

I / We the registered holder/s and undersigned seller/s for the above consideration do hereby transfer to the above name/s hereinafter called the Buyer/s the securities as specified above standing in my/our name/s in the books of the above named Company, subject to the several conditions on which I/We held the same at the time of signing hereof and I/We the Buyer/s do hereby agree to accept the said securities subject to the same conditions. I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed (if applicable). To sign as power of attorney you must have already lodged it with the registry or your broker as appropriate or enclose a certified copy with this transfer.

16 Transferor/s (Seller/s) sign here <i>(Digital signatures not accepted)</i>  18 Transferee/s (Buyer/s) sign here <i>(Digital signatures not accepted)</i>	Individual or Securityholder 1 <div></div> Director or Sole Director and Sole Company Secretary	Securityholder 2 <div></div> Director/Company Secretary	Securityholder 3 <div></div> Sole Director (No Company Secretary) Day Month Year 17 / /
	Individual or Securityholder 1 <div></div> Director or Sole Director and Sole Company Secretary	Securityholder 2 <div></div> Director/Company Secretary	Securityholder 3 <div></div> Sole Director (No Company Secretary) Day Month Year 19 / /

# HOW TO COMPLETE THE TRANSFER FORM FOR NON-MARKET TRANSACTIONS WHEN TRANSFERRING SECURITIES IN A LISTED OR UNLISTED COMPANY

## 1. CONSENT

Provide consent for Selfwealth to debit the fee from either the Buyer or the Seller's Selfwealth account, and ensure to have funds available in the Selfwealth cash account accordingly.

## 2. FULL NAME OF SECURITY / STOCK

The full name of the company or corporation in which securities are held, e.g. if you hold SWF shares, this would be Selfwealth Ltd.

## 3. DESCRIPTION OF SECURITIES

Type of shares, e.g. Fully Paid, Ordinary Shares

## 4. QUANTITY

Number of securities being transferred. This needs to be written both in letters and numbers, e.g. Sixty thousand / 60,000.

## 5. FULL NAME OF TRANSFEROR/S (SELLER/S)

Include the Seller's full name including any designation (if applicable).

If the Seller is a Selfwealth account, the name MUST reflect the CHESS registration details exactly. Please check your portfolio details in your account settings.

If held externally, please check your latest holding statement to ensure the correct registered name is written down on the form.

## 6. TRANSFEROR/S (SELLER/S) HOLDER IDENTIFICATION NUMBER (HIN) / SECURITY HOLDER REFERENCE NUMBER (SRN)

Quote the Seller's HIN or SRN here.

If supplying an SRN, please provide an issuer-sponsored holdings' statement.

## 7. TRANSFEROR/S (SELLER/S) TRADING ACCOUNT

The trading account number where the shares are currently held.

If the Seller's account is held a Selfwealth, this would be the Selfwealth ID. To locate these details, log into your trading account and select your Portfolio > Trading Account > Account Details

## 8. BROKER PID

The Seller/s sponsoring broker where the security is held (e.g. Selfwealth's PID is 3772)

If held externally, you will find the correct details on a recent CHESS Holding Statement or by contacting the other broker.

## 9. CONSIDERATION

Full amount paid in settlement of the transfer of securities. Purchases should reflect the market price of shares as at the date of the transfer (See 10. Date of Transfer) *To calculate - multiply the number of units to be transferred by the market price.*

## 10. DATE OF TRANSFER

Insert the date of purchase or completion of the off-market transfer. This can be an estimate, but must not precede three months prior to form submission.

## 11. FULL NAME OF TRANSFEREE/S (BUYER/S)

Insert full name of Buyer, including the account designation, if applicable.

If held externally, check a holding statement to ensure the correct registered name is provided on the form.

## 12. FULL POSTAL ADDRESS OF TRANSFEREE/S (BUYER/S)

Insert full address, including the postcode.

## 13. TRANSFEREE/S (BUYER/S) HOLDER IDENTIFICATION NUMBER (HIN)

Please quote the HIN of the Buyer/s in which the security is to be transferred to.

## 14. TRANSFEREE/S (BUYER/S) TRADING ACCOUNT

The trading account number where the shares will be transferred to. If the Buyer's account is held a Selfwealth, this would be the Selfwealth ID. To locate these details, log into your trading account and select your Portfolio > Trading Account > Account Details

## 15. BROKER PID

The Buyer/s sponsoring broker where the security is held (e.g. Selfwealth's PID is 3772).

If held externally, you will find the correct details on a recent CHESS Holding Statement or by contacting the other broker.

## 16 - 18. SIGNATURE/S

(vi) Individuals - The securityholder must sign

(vii) Joint Holdings - Where the holding is to be registered in more than one name, all of the proposed securityholders must sign

(viii) Power of Attorney - To sign as power of attorney, you must have already lodged it with the registry.

Alternatively, attach a certified photocopy of the power of attorney to this form.

(ix) Deceased Estate - When the holding is in the name of an estate, all executors/administrators are required to sign.

(Probate requirements must also be complied with)

(x) Companies - Director, Company Secretary, Sole Director and Sole Company Secretary or Sole Director (no Company Secretary) can sign.

Please sign in the appropriate box which indicates the office held by you.

## 17 - 19. DATE SIGNED

Insert the date when the Seller/s and Buyer/s signed, respectively.