FNZ

Small Estate Indemnity Form

A small estate indemnity form is used in place of a probate to administer small estates that have portfolio value of less than AUD 50,000 as instructed by the executor/s or administrator/s. Executors and administrators should obtain their own legal advice regarding their obligations.

1. FNZ Securities Estate Account Details

Estate of the Late

2. Executor Details

Executor/Administrator 1 (please circle)

Mr   Ms   Mrs   Miss   Dr   Other: _____

First Name: Middle Name/s: Surname:

Executor/Administrator 2 (please circle)

Mr   Ms   Mrs   Miss   Dr   Other: _____

First Name: Middle Name/s: Surname:

Executor/Administrator 3 (please circle)

Mr   Ms   Mrs   Miss   Dr   Other: _____

First Name: Middle Name/s: Surname:

3. Security Details

Security Code	Security Name	Security Ref Number (SRN) Holder Identification Number (HIN)	Quantity

FNZ

4. Declaration & Signature/s

This section must be signed and witnessed for your instructions to be executed.

I/We hereby request a transmission/transfer to myself/ourselves as legal representative/s of the estate or to the beneficiaries of the above-named deceased without requiring a Grant of Probate/Letters of Administration or a reseal of Grant of Probate/Letters of Administration in the Australian State where the securities are registered.

I/We hereby covenant to indemnify and forever keep indemnified FNZ Custodians (Australia) Pty Ltd ACN 624 689 694 (trading as FNZ Securities) and its agents, from and against all losses in respect thereof and all claims, actions, proceedings, demands, costs and expenses whatsoever which may be made or brought against it by reason of compliance with this request and any other requests.

Persons signing this statement should note that the Corporations Act 2001 imposes severe penalties for making false statements ($10,000 or imprisonment for 2 years or both) or failing to ensure that a statement is not false or misleading ($5,000 or imprisonment for 1 year or both).

Note: Each witness certifies that the corresponding person who signed below is known to them and has signed in the presence of the witness with their normal signature.

Executor 1 Name

Full Name   Date

Signature – *(handwritten signature)*

Witness 1 Name

Full Name   Date

Signature – *(handwritten signature)*

Executor 2 Name

Full Name   Date

Signature – *(handwritten signature)*

Witness 2 Name

Full Name   Date

Signature – *(handwritten signature)*

Executor 3 Name

Full Name   Date

Signature – *(handwritten signature)*

Witness 3 Name

Full Name   Date

Signature – *(handwritten signature)*