

Instructions: Complete all sections and email the signed form to support@selfwealth.com.au (Attn: Operations). You must attach (1) a copy of your most recent account statement from the transferring broker and (2) a copy of your photo ID (driver licence or passport). Digital signatures are accepted.

1 Your Selfwealth account details (receiving account)

SELFWEALTH TRADING ACCOUNT NAME

SELFWEALTH ACCOUNT NUMBER (STARTING WITH AN S)

US TRADING ACCOUNT #

TFN / ABN / ACN

ACCOUNT TYPE

☐ Individual

☐ Joint

☐ Company

☐ Trust / SMSF

Provide the tax identifier that matches the account type: TFN for individual and joint accounts, ABN or ACN for company, trust and SMSF accounts. The registration and tax details of the account being transferred must match your Selfwealth US trading account.

2 Transferring broker (where your holdings are held now)

NAME OF THE BROKER TRANSFERRING YOUR HOLDINGS

BROKER CLEARING # (IF KNOWN)

BROKER ADDRESS

YOUR ACCOUNT AT THAT BROKER

ACCOUNT NAME (AS REGISTERED AT THAT BROKER)

ACCOUNT NUMBER

ACCOUNT TYPE

individual, joint, company, trust

3

Transfer type

- ☐ Full transfer
- ☐ Partial ACAT
- ☐ Non-ACAT transfer

Unless otherwise indicated, the transfer will be processed in full.

4

Holdings to transfer (required for a partial transfer)

- ☐ Delivery in kind
- ☐ Liquidate

#	QUANTITY	SYMBOL	CUSIP	ASSET DESCRIPTION
1				
2				
3				
4				
5				
6				
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9				
10				
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12				
13				
14				
15				
16				
17				
18				

Need more rows? Continue on the additional holdings page (page 4).

5 Client authorisation (required)

Unless otherwise indicated in the instructions above, please transfer, in kind, all assets in my account to Alpaca Securities LLC, the US clearing partner for Selfwealth. I understand that to the extent any assets in my account are not readily transferable, with or without penalties, such assets may not be transferred within the time frames required by applicable regulations. I understand I will be contacted by the delivering and/or receiving firm regarding any assets that are not transferable. I authorise you to liquidate any non-transferable proprietary money market fund assets that are part of my account and transfer the resulting credit balance to Alpaca Securities LLC. I authorise the transferor to deduct any outstanding fees due you from the credit balance in my account. If my account does not contain a credit balance, or if the credit balance in the account is insufficient to satisfy any outstanding fees due you, I authorise you to liquidate the assets in my account to the extent necessary to satisfy that obligation. I understand that upon receiving a copy of this transfer instruction, for a full account transfer, the transferor will freeze my account and cancel all open orders for my account on your books. I affirm that I have destroyed or returned to the transferor all credit/debit cards and/or unused checks issued to me in connection with my account.

ACCOUNT HOLDER 1 SIGNATURE	PRINT NAME	DATE (DD / MM / YYYY)
ACCOUNT HOLDER 2 SIGNATURE (IF APPLICABLE)	PRINT NAME	DATE (DD / MM / YYYY)

Digital signatures are accepted. You may type or apply an electronic signature in the field above, or print and sign with an ink pen. All account holders must sign; for company accounts, sign in accordance with the company's constitution.

LETTER OF ACCEPTANCE (FOR OFFICE USE ONLY)

The undersigned organization agrees to serve as successor custodian for the account of the above-named individual, and as custodian, we agree to accept the assets being transferred.

REPRESENTATIVE PRINT NAME	REPRESENTATIVE SIGNATURE	DATE
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Use this page only if you have run out of rows on page 2.

SELFWEALTH TRADING ACCOUNT NAME

SELFWEALTH ACCOUNT NUMBER

#	QUANTITY	SYMBOL	CUSIP	ASSET DESCRIPTION
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