

Instructions: Use this form to transfer shares between your account at a US transfer agent and your Selfwealth US trading account, held with Alpaca Securities LLC. Complete all sections and email the signed form, with the documents listed below, to support@selfwealth.com.au (Attn: Operations). Digital signatures are accepted. Fees: a DRS processing fee of US\$100 is charged for each transfer request, and a resubmission fee of US\$150 is charged for each rejection caused by a registration mismatch, an SSN / TIN mismatch, or insufficient shares. Fees are charged in US dollars to your Selfwealth US trading account.

BEFORE YOU SEND, CHECK YOU HAVE

- ☐ A recent statement from the transfer agent
- ☐ A copy of your photo ID (driver licence or passport)

1 Receiving account information (your Selfwealth US trading account)

ACCOUNT OWNER (FULL NAME AS REGISTERED)

SELFWEALTH ACCOUNT NUMBER (STARTING WITH AN S)

US TRADING ACCOUNT # (ALPACA ACCOUNT NUMBER)

ADDRESS ON ACCOUNT

DAYTIME PHONE NUMBER

CLEARING FIRM

Alpaca Securities LLC

DTC #

3021

2 Delivering transfer agent (where your shares are registered now)

TRANSFER AGENT NAME

TRANSFER AGENT DTC #

ACCOUNT NUMBER FOR THIS STOCK AT TRANSFER AGENT

SSN / TAX ID ON FILE AT TRANSFER AGENT

3 Stock to be transferred (whole shares only)

SECURITY NAME

SYMBOL / CUSIP

NUMBER OF SHARES (WHOLE SHARES ONLY)

Fractional shares cannot be transferred through DRS. Any fractional entitlement remains with the transfer agent.

4 Acknowledgement and authorisation

I instruct Alpaca Securities LLC, the US clearing partner for Selfwealth, to process the Direct Registration System transfer of the shares listed in this letter between my transfer agent account and my Selfwealth US trading account. I confirm that the name, address and SSN / Tax ID held at the transfer agent match the details of my receiving account, and that the information provided in this letter is true and correct.

Acknowledgement of fees: By signing below, I acknowledge that I have read and understood the fees set out in the instructions on page 1. I agree that a DRS processing fee of US\$100 will be charged to my account for this request, and that a resubmission fee of US\$150 will be charged for each rejection caused by a registration mismatch, an SSN / TIN mismatch, or insufficient shares. I authorise Selfwealth and Alpaca Securities LLC to deduct these fees from my account.

CLIENT SIGNATURE

CLIENT NAME (PRINT)

DATE (DD / MM / YYYY)

Digital signatures are accepted.

IMPORTANT INFORMATION

Completion of this letter does not guarantee acceptance by the transfer agent. DRS transfers are subject to the transfer agent's own processing times and requirements, and may be rejected if the details provided do not match the transfer agent's records. Selfwealth and Alpaca Securities LLC are not responsible for delays or rejections caused by the transfer agent or by incomplete or incorrect information on this letter. Fees are non-refundable once a request has been submitted to the transfer agent. Selfwealth does not provide tax advice; you should consider any tax implications of a transfer before proceeding. Securities transferred to your Selfwealth US trading account are held in custody by Alpaca Securities LLC.