

Trading Application Guide

This document details the information required to complete a SelfWealth Trading application based on the relevant account type.

All applicants and/or controlling persons must

- Live in Australia and have an Australian residential address
- Be a tax resident of Australia.
- Be 18 years of age or older

* SelfWealth does not support foreign tax entities or residents.

Account types supported by SelfWealth

- Individual
- Joint
- Kids (minor)
- Company
- Self-Managed Super Funds (SMSF) (Individual and Corporate Trustees)
- Other Trusts e.g., Family Trusts (Individual and Corporate Trustees)

Data Collection

In accordance with the Anti-Money Laundering and Counter-Terrorism Financing Act 2006 Legislation and associated Rules (AML/CTF obligations), SelfWealth must verify the identity of all applicants as well as collect and verify certain information regarding the beneficial owners or controlling persons of an entity and/or trust accounts.

Step 1: Applicant Details

Each applicant must supply the following information which maybe disclosed to a credit reporting agency (CRA) to verify your identity:

- Date of birth
- Current Australian residential and postal address
- Email address
- Mobile phone number
- A valid drivers' licence with the current residential address and/or Australian passport
- and for applicants between the ages of 18 and 27 years of age a Medicare card.

Note: An applicant is the person applying for the trading account and is authorised to operate the account. Applicants include the following individuals:

- Company Officers for Company and Corporate Trustees
- Individual Trustees for SMSF and other

Step 2 - Information required based on Account Type

Account Type	Application Criteria
In addition to the Applicant Details defined in step 1, please supply the following information	
Individual / Joint	<ul style="list-style-type: none"> A valid TFN number or exemption code for each applicant
Kids (Minor)	<p>An account for a minor can be opened in the name of an adult (individual or joint applicants) on behalf of the minor. The applicants will be deemed the owner(s) of the account.</p> <ul style="list-style-type: none"> Name of the minor Date of birth of the minor Copy of the minor's birth certificate (extract accepted) Applicant's relationship to the minor <p>Note: Once the minor has turned 18, the shares can be transferred into an account in the name of the minor.</p>
Company	<ul style="list-style-type: none"> Company Name A valid Australian Company Number (ACN) or Australian Business Number (ABN) Registered address of the company Physical office address
Individual Trustee(s)	<p>The person who manages the trust. Legal ownership of the trust assets will sit with the person as trustee for the trust. The trustee must hold the assets for the benefit of the beneficiaries.</p> <p>Individual trustees to supply personal details in accordance with Applicant Details (step 1)</p>
Corporate Trustee	<p>A corporate trustee is an Australian company. Typically, a corporate trustee is a shell company with an ACN, with no filing obligations or assets.</p> <p>A corporate trustee must have a shareholder or shareholders and appoints directors to manage the trust and the distribution of assets to beneficiaries.</p> <p>The directors of the corporate trustee must supply their personal details in accordance with Applicant Details (step 1).</p>

Step 3 – SMSF or Other Trusts

SMSF	<p>Copy of the following pages of the SMSF trust deed</p> <ul style="list-style-type: none"> Cover page Schedule page Execution page <p>Note: The documents do not need to be certified.</p>
Trusts	Certified copy of the full trust deed.